



Attorney Docket No.: 3932P006XX

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application for:

Michael H. Cohen et al.

Serial No.: 10/046,026

Filing Date: January 11, 2002

For: DETECTION OF CHARACTERISTICS  
OF HUMAN-MACHINE  
INTERACTIONS FOR DIALOG  
CUSTOMIZATION AND ANALYSIS

Examiner: Not yet assigned

Group Art Unit: 2654

which is a continuation-in-part of:

Serial No.: 09/412,173

Filing Date: October 4, 1999

Examiner: Opsasnick, M.

Group Art Unit: 2654

which is a continuation-in-part of:

Serial No.: 09/203,155

Filing Date: December 1, 1998

Box Missing Parts

Assistant Commissioner for Patents

Washington, D.C. 20231

REQUEST FOR CORRECTION OF FILING RECEIPT

Sir:

On February 15, 2002, we received the official filing receipt in connection with the above-identified patent application (copy enclosed). However, the inventor's name and city and state of residence need to be corrected. Please change:

**From:**

James R. Riseman

Menlo Park, CA

To:

James M. Riseman

San Francisco, CA


Please correct your records to reflect the above information and then forward to us a corrected filing receipt. Enclosed is a copy of the filing receipt marked with the appropriate corrections.

If you have any questions please contact the undersigned.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 3/20, 2002

  
Jordan M. Becker  
Registration No. 39,602

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8300


I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on

March 20, 2002

(Date of Deposit)

Julie Arango

(Typed or printed name of person mailing correspondence)

 3/20/02  
(Signature of person mailing correspondence)

Receipt  
Page 1 of 1  
JMB



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/046,026	01/11/2002	2654	1628	3932P006XX	8	60	5

CONFIRMATION NO. 6537

Blakely, Sokoloff, Taylor & Zafman LLP  
Seventh Floor  
12400 Wilshire Boulevard  
Los Angeles, CA 90025-1030

RECEIVED  
FEB 15 2002

FILING RECEIPT



\*OC000000007449889\*

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
LOS ANGELES

Date Mailed: 02/08/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Michael H. Cohen, Burlingame, CA;  
Larry P. Heck, Sunnyvale, CA;  
Jennifer E. Balogh, Fremont, CA;  
James ~~R.~~ Riseman, ~~Menlo Park, CA;~~  
Naghmeh N. Mirghafori, Fremont, CA;

M.

San Francisco, CA

ENTER

FEB 15 2002

STATUS DB-LA

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/412,173 10/04/1999  
WHICH IS A CIP OF 09/203,155 12/01/1998

Foreign Applications

If Required, Foreign Filing License Granted 02/07/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Detection of characteristics of human-machine interactions for dialog customization and analysis

Preliminary Class

704

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/046,026	01/11/2002	Michael H. Cohen	3932P006XX

CONFIRMATION NO. 6537

FORMALITIES LETTER



\*OC000000007449890\*

Blakely, Sokoloff, Taylor & Zafman LLP  
Seventh Floor  
12400 Wilshire Boulevard  
Los Angeles, CA 90025-1030

Date Mailed: 02/08/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

*V.G.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/29/2002 SZEWDIE1 00000043 10046026

01 FC:105

130.00 OP

Attorney Docket No.: 3932P006XX

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re Patent Application for:

Michael H. Cohen et al.

Serial No.: 10/046,026

Filing Date: January 11, 2002

For: DETECTION OF CHARACTERISTICS  
OF HUMAN-MACHINE  
INTERACTIONS FOR DIALOG  
CUSTOMIZATION AND ANALYSIS

Examiner: Not yet assigned

Group Art Unit: 2654

which is a continuation-in-part of:

Serial No.: 09/412,173

Filing Date: October 4, 1999

Examiner: Opsasnick, M.

Group Art Unit: 2654

which is a continuation-in-part of:

Serial No.: 09/203,155

Filing Date: December 1, 1998

Box Missing Parts

Assistant Commissioner for Patents

Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
(FILING DATE GRANTED)

Sir:

In response to the Notice to File Missing parts of Application (Filing Date  
Granted) mailed February 8, 2002, please find enclosed:

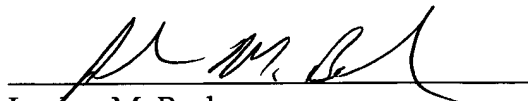
- (1) a duly executed Declaration and Power of Attorney with respect to  
the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37  
C.F.R. § 1.16(e); and
- (3) a copy of the Notice to File Missing Parts of Application.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 3/20/02

  
Jordan M. Becker  
Reg. No. 39,602

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8300

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on March 20, 2002  
Date of Deposit

Julie Arango  
Name of Person Mailing Correspondence

Julie Arango 3/20/02  
Signature Date